1.0 Introduction

Hypoxic ischemic encephalopathy (HIE) is defined as an abnormal neurologic state that occurs during the neonatal period following a hypoxic-ischemic insult. There is evidence from both animal and human studies that therapeutic hypothermia provides neuroprotection by reducing the severity of brain injury and resulting in an improved neurological outcome\textsuperscript{1–7}. All infants with HIE should be assessed for eligibility to receive therapeutic hypothermia. Evidence suggests that hypothermia in neonates with moderate to severe HIE reduces the severity of brain injury and leads to improved neurological outcome\textsuperscript{1,2,4}. Therapeutic hypothermia is considered the standard of care in newborns with HIE.

Target Patient Population

- The commencement of therapeutic hypothermia \textit{within 6 hours of birth}, for eligible patients, is the desired target\textsuperscript{8}. Recent data showed that therapeutic hypothermia initiated before or after 4 hours of age demonstrated no significant effect on mortality, short-term outcomes, brain injury on MRI, and neurodevelopmental impairment at 2 years of age in infants with HIE (Rao et al. Unpublished data, 2023).

- All term or late-preterm infants \textgreater 35 weeks gestation with moderate or severe HIE should be considered for therapeutic hypothermia.

- Therapeutic hypothermia is not recommended for preterm infants and infants with mild HIE as there is currently no evidence that cooling is beneficial for these infants\textsuperscript{9–14}. Studies have shown that therapeutic hypothermia in preterm infants less than 35 weeks was associated with increased mortality and adverse effects\textsuperscript{9–12}. Similarly, there is insufficient evidence to recommend therapeutic hypothermia for infants with mild HIE, as several studies have shown no difference in long-term outcomes (death and disability) between infants with mild HIE who were cooled and those who received standard care\textsuperscript{13,14}. The benefits of therapeutic hypothermia in preterm infants and infants with mild HIE may not outweigh the risks, therefore cooling is currently not recommended for these infants until more evidence is available.

- In the presence of profound encephalopathy where the risk of death or adverse neurodevelopmental outcome is high, the responsible physician may choose not to offer hypothermia treatment if there is no plan to pursue aggressive treatment.

- Initiation of hypothermia does not preclude a decision to withdraw life-sustaining therapy.

Therapeutic Hypothermia Criteria

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Inclusion Criteria:
Infant should fulfill all 4 criteria:
1. GA greater than or equal to 35 weeks
2. Less than 6 hours post-delivery
3. Evidence of intrapartum hypoxia defined as:
   a. Cord or postnatal blood gas within one hour of birth with pH less than or equal to 7.00 OR base excess (BE) of greater than or equal to -16.
   b. If pH 7.01-7.15 or BE -10 to 15.9, and Apgar score 5 or less at 10 minutes or need for continued ventilation or resuscitation at 10 minutes.
   c. If no blood gas available then must have evidence of an acute perinatal event ie placental abruption, uterine rupture, maternal trauma or cardiopulmonary arrest, late or persistent variable decelerations in an encephalopathic newborn.
4. Signs of moderate or severe encephalopathy defined as presence of clinical seizures or 3 or more of the items in the moderate or severe categories using the modified Sarnat score.

• Exclusion Criteria
  1. Preterm infants born less than 36 weeks gestation
  2. Neonates with mild encephalopathy on Sarnat Scoring
  3. Neonates with a weight less than 1.8 kg
  4. Clinically significant refractory coagulopathy despite treatment
  5. Moribund neonates, or neonates with major congenital or genetic abnormalities, in whom no further aggressive treatment is planned

Neurological Assessment

Standardized neurological examination must be performed by a physician or nurse practitioner skilled in neurological assessment within the first hour of life to determine the degree of encephalopathy. The gold standard in the assessment is the modified Sarnat Score; however, it is recommended to also perform the Thompson score as an additional tool to trend clinical evolution numerically. Of note, cerebral function monitoring using amplitude-integrated EEG (aEEG) can assist in screening infants for eligibility for therapeutic hypothermia, although this should not be used to exclude otherwise eligible neonates as per Newborn Brain Society Guidelines, 2022.

Modified Sarnat Score

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### Thompson Score

<table>
<thead>
<tr>
<th>Score</th>
<th>0</th>
<th>1</th>
<th>2</th>
<th>3</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Tone</strong></td>
<td>Normal</td>
<td>Hypertonic</td>
<td>Hypotonic</td>
<td>Flaccid</td>
</tr>
<tr>
<td><strong>Level of consciousness</strong></td>
<td>Normal</td>
<td>Hyper alert stare</td>
<td>Lethargic</td>
<td>Comatose</td>
</tr>
<tr>
<td><strong>Seizures</strong></td>
<td>None</td>
<td>Infrequent &lt; 3/day</td>
<td>Frequent &gt; 2/day</td>
<td></td>
</tr>
<tr>
<td><strong>Posture</strong></td>
<td>Normal</td>
<td>Fisting, cycling</td>
<td>Distal flexion</td>
<td>Decerebrate</td>
</tr>
<tr>
<td><strong>Moro</strong></td>
<td>Normal</td>
<td>Partial</td>
<td>Absent</td>
<td></td>
</tr>
<tr>
<td><strong>Grasp</strong></td>
<td>Normal</td>
<td>Poor</td>
<td>Absent</td>
<td></td>
</tr>
<tr>
<td><strong>Suck</strong></td>
<td>Normal</td>
<td>Poor</td>
<td>Absent + bites</td>
<td></td>
</tr>
<tr>
<td><strong>Respiration</strong></td>
<td>Normal</td>
<td>Hyperventilation</td>
<td>Brief apnea</td>
<td>IPPV (apnea)</td>
</tr>
<tr>
<td><strong>Fontanel</strong></td>
<td>Normal</td>
<td>Full, not tense</td>
<td>Tense</td>
<td></td>
</tr>
<tr>
<td><strong>TOTAL SCORE</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### 2.0 Clinical and neurophysiologic monitoring during therapeutic hypothermia
• Modified Sarnat score and Thompson score should be administered daily and documented on EPIC in all newborns undergoing therapeutic hypothermia until the end of rewarming.
• Newborns receiving therapeutic hypothermia should receive aEEG monitoring during the cooling and rewarming periods.
• Continuous EEG should be considered if there is a concern for seizures or suppressed background patterns (discontinuous normal voltage, burst-suppression, continuous low voltage, isoelectric trace) on aEEG.
• Near-infrared spectroscopy (NIRS) should be connected to newborns undergoing therapeutic hypothermia to optimize brain hemodynamics.
• Adjustments to alarm limits for continuous cardiorespiratory monitoring should be documented (i.e. low resting heart rates).

• The following laboratory monitoring is recommended as a minimum to be ordered by the medical team. Additional laboratory tests may be ordered as needed.

<table>
<thead>
<tr>
<th>Laboratory Monitoring</th>
<th>Frequency</th>
</tr>
</thead>
<tbody>
<tr>
<td>POCT glucose</td>
<td>Every 6 hours throughout the cooling process</td>
</tr>
<tr>
<td>On admission</td>
<td>Gas, lactate, CBC, coagulation, electrolytes, ALT, AST, urea, creatinine, ammonia, calcium, glucose</td>
</tr>
<tr>
<td>12 hours after initiation of cooling</td>
<td>Gas, lactate, electrolytes, C-reactive protein</td>
</tr>
<tr>
<td>24 hours after initiation of cooling</td>
<td>Gas, lactate, CBC, coagulation, electrolytes, LFTs, bilirubin, urea &amp; creatinine, ammonia, calcium, phosphate</td>
</tr>
<tr>
<td>48 hours after initiation of cooling</td>
<td>Electrolytes, urea and creatinine, bilirubin</td>
</tr>
<tr>
<td>72 hours after initiation of cooling</td>
<td>Glucose, electrolytes, bilirubin, CBC</td>
</tr>
<tr>
<td>24 hours after rewarming has been completed</td>
<td>Glucose, electrolytes, calcium, bilirubin</td>
</tr>
</tbody>
</table>

• In infants presenting without a clear sentinel event, other causes of neonatal encephalopathy should also be considered and investigated appropriately. Possibility of a systemic and/or central nervous system infection should be ruled out. Placental pathology should be sent and pathology results should be monitored closely. Neurometabolic disorders are a frequent cause of neonatal encephalopathy, so a metabolic/genetic consultation for further testing with whole exome sequencing is strongly advised in these infants in consultation with the neonatal neurology team.

3.0 Patient Considerations
• **Rewarming**: Rewarming should occur no faster than 0.5 degrees Celsius/hour, even if rewarming is occurring for other clinical indications such as hemodynamic instability or persistent coagulopathy. – see rewarming section.

• **Venous/arterial Access**  
  Consider venous/arterial access needs for patient monitoring prior to the cooling process as difficulties in obtaining access may occur related to decreased perfusion secondary to hypothermia.

• **Fluid and Nutritional Requirements**  
  Fluid and nutritional requirements should be assessed daily and when there are changes to the level of patient sedation. Hypothermia, sedation, and the effects of a hypoxic ischemic insult have an additive effect on the infant’s metabolic activity.

• **Enteral feeding during therapeutic hypothermia**  
  o Patients with moderate-severe HIE will remain NPO during cooling.  
  o Patients with milder HIE may be eligible for tropic feeds – [Enteral Feeding During Therapeutic Hypothermia](#)

• **Minimizing the risk of subcutaneous fat necrosis**  
  o Once target temperature has been reached do not use additional ice packs on the skin as this increases the risk for subcutaneous fat necrosis (see 5.3 #10, below) – [Subcutaneous Fat Necrosis](#)

• **Analgesia and sedation management**  
  o Indications for sedation include agitation or shivering response. Shivering leads to increased peripheral muscle oxygenation consumption. Neonates with excessive shivering should be considered for treatment with dexmedetomidine (please see formulary for dosing details).
  o Analgesia may be used instead of a sedative in the case of patients who have pain due to trauma at delivery, with low dose morphine infusion. Infants with hypoxic ischemic encephalopathy have reduced morphine clearance and elevated serum morphine concentrations.
  o Potentially toxic serum concentrations of morphine may occur with moderate hypothermia and infusion rates >5 micrograms/kg per hour.

• **Concurrent use of anti-epileptic drugs (AED) and sedative medications**  
  Caution should be used in patients who are receiving anticonvulsants in addition to sedative or opioid agents. Please discontinue sedatives for patients receiving Phenobarbital or midazolam infusions for seizures. Please refer to the SickKids Neonatal Seizure Management Guidelines for the management of seizures and consult Neonatal Neurology service for further guidance.

• **Holding during therapeutic hypothermia**  
  Patients MAY be eligible for holding during cooling. Refer to [NICU Holding Guidelines](#) for eligibility and procedure.

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### 4.0 Process for Management of HIE in NICU

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Printable Version of Process for Care Coordination

5.0 Procedure

5.1 Equipment

- Blanketrol III unit (located in the link room) Hypo/hyperthermia blanket – should be attached to Unit (do not remove unless leak/defect)
- Disposable rectal probe – supply room (special order item – inventory monitored by Patient Information coordinator)

5.2 Preparing Blanketrol III unit

<table>
<thead>
<tr>
<th>Important Steps</th>
<th>Key Points</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Check the level of distilled water in the reservoir. To do so, lift cover of the water fill opening and check if the water is visibly touching the strainer. If needed, carefully add distilled water.</td>
<td>Low water indication/warning message will alarm if water level is too low. If in operation and this alarm occurs press SILENCE ALARM button. Pour distilled water into reservoir in until it is visible touching the strainer. The status display will change to show CHECK SET PT. All</td>
</tr>
</tbody>
</table>

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Hypoxic Ischemic Encephalopathy Clinical Pathway
### Important Steps | Key Points
---|---
2. Lay the hypo/hyperthermia (rubber blanket) flat on the Overbed warmer with the hoses lying without kinks, towards the Blanketrol III unit. | Ensure hoses are not twisted to allow for unrestricted circulation of water through the unit to the hypo/hyperthermia blanket.
3. If hoses from hypo/hyperthermia blanket are not connected attach return and outlet couplings to Blanketrol III unit. | Do not disconnect hoses from Blanketrol III after use.
4. Check that the power switch is OFF prior to inserting the electrical plug into a properly grounded hospital grade receptacle (red electrical outlet). | Water leaks present a risk of infection to the patient and should not be used. Obtain alternate blanket from another Blanketrol III supply box.
5. Turn power switch ON. Water will be circulated through the blanket. Check that there are no leaks in the blankets or hoses. | Water leaks present a risk of infection to the patient and should not be used. Obtain alternate blanket from another Blanketrol III supply box.
6. Set the Celsius/Fahrenheit switch so that Celsius is displayed. | 
7. Pre-cool the blanket to 33°C by first operating in the Manual Control Mode. 
   - Press the MANUAL CONTROL button.
   - Press the TEMP SET button | Allow 15 minutes to pre-cool the hypo/hyperthermia blanket prior to use.

### 5.3 Cooling the Infant

| Important Steps | Key Points |
---|---|
1. Place infant on a radiant over-bed warmer. | Allows for easy access to the infant. |
2. Ensure radiant warmer on over-bed is OFF. Monitor skin temperature with skin temperature probe. | Exposure to the environment assists in maintaining hypothermic state. Skin temperature should be monitored as a safety measure, in case the rectal thermometer becomes dislodged. |
3. Insert the rectal/esophageal temperature probe to the appropriate depth.  
   a. **Rectal insertion:**  
      i. Lubricate probe with water-based lubricant. Gently insert temperature probe to 3 - 5 cm into infant's rectum. Secure probe to infant's leg to avoid probe dislodgement.  
   b. **Esophageal insertion:**  
      i. Submerging probe in warm water will make probe more pliable.  
      ii. Measure distance from the tip of the nose to the earlobe to the xyphoid process then **subtract** 2 cm from the length to approximate distance to lower esophagus.  
      iii. Lubricate probe with sterile water or infant's saliva.  
      iv. Insert esophageal probe into nare. Do not push past resistance. Attempt opposite nare or insert orally and secure probe with tape.  
      v. Confirm placement with a 2 view x-ray.  
      vi. Secure probe to infant's face to avoid dislodgement. | Utilize esophageal placement for infants with anorectal malformations/injuries. |
<table>
<thead>
<tr>
<th>Important Steps</th>
<th>Key Points</th>
</tr>
</thead>
<tbody>
<tr>
<td>4. Insert temperature probe plug into Blanketrol III unit patient probe jack on the right side of the unit.</td>
<td>This exposes the greatest amount of body surface area of the infant to the hypo/hyperthermia blanket. The Blanketrol III system is used either: to lower or to raise a patient's temperature and/or maintain a desired patient temperature through conductive heat transfer.</td>
</tr>
<tr>
<td>5. Position the pre-cooled hypo/hyperthermia blanket fully unfolded under the infant such that the infant is supine with the occiput resting on the blanket.</td>
<td>An infant’s body temperature is more responsive to surface heating and cooling than adults related to their higher ratio of skin contact area to body mass. Prevent excessive and/or prolonged tissue pressure and shearing forces, especially over bony prominences, to prevent skin damage.</td>
</tr>
<tr>
<td>6. Active cooling will be reduced when the rectal/esophageal temperature falls below or meets 34.5°C.</td>
<td>Commencement of 72 hour cooling period begins when target temperature is reached.</td>
</tr>
<tr>
<td>- Press TEMP SET button.</td>
<td>Notify physician immediately if temperature increases or decreases outside of the 33.0°C-34.0°C target.</td>
</tr>
<tr>
<td>- Use the up/down arrows to change the SETPT temperature to 33.5°C.</td>
<td>Once you activate the SMART Mode function, the unit will evaluate whether or not the patient's current temperature is the same as their target temperature every 30 minutes. If they are not the same then the unit will respond by adjusting the water temperature by 5 degrees (warmer or cooler) until the patient reaches their target temperature.</td>
</tr>
<tr>
<td>- Press the GRADIENT 10C* button, then press the SMART MODE button.</td>
<td>Once the patient reaches the target temperature the SMART Mode will turn off and the Blanketrol III will default back to the original Gradient setting. If the patient’s temperature deviates by 0.2 degrees C (+/-) the SMART Mode will be activated.</td>
</tr>
</tbody>
</table>

*In GRADIENT 10C MODE the unit monitors the patient's temperature and maintains the circulating water temperature at a maximum of 10°C different from the patient's temperature in order to gradually adjust the patient's temperature to a set point determined by the operator. When the patient reaches set point, the unit continues to circulate the water but the water is not heated or cooled. If the patient's temperature falls outside the set point range, the unit resumes operation in GRADIENT 10C MODE. Therefore, if the patient temperature is set for 33.5°C the blanket temperature will not fall below 23.5°C. If a 10°C gradient is not maintaining the patient’s temperature at the desired level a variable gradient can be selected using the GRADIENT VARIABLE MODE, whereby a gradient value can be set (range from 0 to 20 degrees Celsius gradient).

To set GRADIENT VARIABLE MODE:
- Press TEMP SET button.
### Important Steps

- Use the up/down arrows to change the SET PT temperature to desired patient temperature.
- Press GRADIENT VARIABLE button.
- Use the up/down arrows to change the gradient to the desired value (0 to 20).
- Press GRADIENT VARIABLE button.

#### Key Points

An infant’s body temperature is more responsive to surface heating and cooling than adults related to their higher ratio of skin contact area to body mass. Prevent excessive and/or prolonged tissue pressure and shearing forces, especially over bony prominences, to prevent skin damage. Frequent skin assessments should be conducted (manufacturer’s recommendations indicate as frequently as q20 minutes and more frequently for pediatric patients) of areas in contact with the hypo/hyperthermia blanket for skin damage. Neonates are at risk for developing fat necrosis.

### 7. Rectal/esophageal, skin and blanket/water temperatures are to be assessed and recorded at specified frequencies:

<table>
<thead>
<tr>
<th></th>
<th>Rectal/esophageal</th>
<th>Skin</th>
<th>Blanket</th>
</tr>
</thead>
<tbody>
<tr>
<td>q15min</td>
<td>x4hrs</td>
<td>x4hrs</td>
<td>x4hrs</td>
</tr>
<tr>
<td>then q1hr</td>
<td>x12hrs</td>
<td>x12hrs</td>
<td>x12hrs</td>
</tr>
<tr>
<td>then q2hr</td>
<td>x72hrs (until cooling completed)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

The probe used to record skin temperature should be placed on the abdomen, not the extremities.

- Insert skin temp module into bedside monitor
- Insert metal end of skin temp probe into module
- Secure pt end of probe onto infant's abdomen (over liver).
- Apply silver dot over probe to hold probe in place

### 8. Notify physician immediately if:

**Under physician’s order active cooling will be reduced if:**
- Oxygen requirements increase by more than 20%
- The infant is treated with anticonvulsants or muscle relaxants.

**Under physician’s order cooling will be stopped if:**
- Persistent hypoxemia despite 100% oxygen and pulmonary vasodilator treatment.
- Life threatening coagulopathy.
- Arrhythmia requiring medical treatment (not sinus bradycardia).
- Decision made by responsible physician to withdraw intensive care support on the basis of poor neurodevelopmental outcome.

Notify physician/nurse practitioner immediately of any changes in the infant’s condition.

*The infant’s rectal/esophageal temperature will begin to decrease soon after initiation of the cooling therapy. Within the first 30-45 minutes on the blanket, it is expected for the infant's esophageal temperature to drop below the eventual desired temperature of 33.5°C. The Blanketrol system adjusts quickly and will warm the blanket water to raise the infant’s temperature to 33.5°C by approximately 90-120 minutes from initiation of the cooling therapy. While maintaining the infant’s temperature at 33.5°C, the blanket itself will feel warm to the touch. Once stable at 33.5°C, some rectal/esophageal temperature fluctuation around the Set point is to be expected, but should not be greater than +/- 0.5°C.*

### 9. During cooling therapy there should only be a thin sheet between the infant and the hypothermia blanket. Rolled cloth blankets and other positioning aids may be used but should be placed under the cooling blanket.

In some infants there is difficulty maintaining the target temperature. For those infants ensure serum magnesium levels are in the normal range, try lowering the gradient temperature to 15 degrees.
### 6.0 Rewarming of Infants Following Body Cooling

Upon completion of the 72-hour period of cooling, the infant will be re-warmed gradually, increasing the core body temperature at the rate of 0.5°C per hour over a 6-hour period.

<table>
<thead>
<tr>
<th>Important Steps</th>
<th>Key Points</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Rewarming of Infants Following Body Cooling</td>
<td>Re-warming the infant’s temperature should not exceed at the rate of 0.5°C per hour over a 6-hour period.</td>
</tr>
<tr>
<td>Each hour increase the Blanketrol III Set point temperature:</td>
<td></td>
</tr>
<tr>
<td>• Press the TEMP SET switch.</td>
<td></td>
</tr>
<tr>
<td>• Press the Up arrow to increase the SETPOINT by 0.5°C.</td>
<td></td>
</tr>
<tr>
<td>• Press the GRADIENT 10C button.</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Important Steps</th>
<th>Key Points</th>
</tr>
</thead>
<tbody>
<tr>
<td>2. At the end of the 6-hour re-warming period, the infant’s thermoregulation will be returned to the Overbed warmer servo-control with skin probe placed on the infant's abdomen; set the initial radiant warmer set point (skin/control) temperature 0.5°C higher than the infant’s current skin temperature. Press the Blanketrol III TEMP SET switch, remove the blanket from under the infant, remove the esophageal probe, and turn the Blanketrol III unit power switch to OFF. The radiant warmer set point temperature should be increased by 0.5°C every hour until a set point of 36.5°C is reached, or until the infant has achieved an axillary temperature of 36.5°C.</td>
<td>Avoid more rapid re-warming. Continue to care for infant’s thermoregulation as per Electronic Patient Monitoring Guideline for the NICU and Vital Sign Monitoring Policy.</td>
</tr>
</tbody>
</table>

### 7.0 References


**8.0 Guideline Group and Reviewers**

*This guideline was critically reviewed and revised by SickKids Neonatal Neurocritical Care Team in May 2023.*

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